

Express Mail #EV815067938US Patent

Attorney Docket # 5367-238PUS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Wolfgang SCHMID et al.

Int'l PCT Serial No.: PCT/DE2004/002476

Int'l Filing Date::

9 November 2004

For:

Optically Pumped Semiconductor Laser

Device

## INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By

Thomas Langer

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Dated: May 15, 2006

IAP20 Rec'd FUTTIO 15 MAY 2006

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for Form 1449/PTO				Complete if Known		
				Application Number	10/9/7915	
INFO	)PMAT	ΙΟΝ Γ	ISCLOSURE	Filing Date		
			APPLICANT	First Named Inventor	Wolfgang SCHMID	
SIA			s necessary)	Art Unit		
		, 5		Examiner Name		
Sheet	1	of	1	Attorney Docket	5367-238PUS	
•				Number		

		U.	S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number  Number-Kind-Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/075935 A1	06/20/2002	Clayton	
		US-			
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	ļ	US-	-		
		US-			

Examiner	Cite	Foreign Patent Document	REIGN PATENT DOCUMENTS    Publication Date   Name of Patentee or	Name of Patentee or	Pages, Columns, Lines,	T6
Initials*	No. 1	Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1
		JP 07 249824 A	09/26/1995	Hitachi Ltd.		
		DE 101 08 079 A1	09/12/2002	Osram Opto		
				Semiconductors GmbH		
		DE 100 26 734 A1	12/13/2001	Osram Opto		
				Semiconductors GmbH		
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Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

10/579519 (AP20 Rec: delt #EV87506793808 2006

Attorney Docket # 5367-238PUS

Patent

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**Optically Pumped Semiconductor** 

Laser Device

Check box if applicable:

DUPLICATE

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed non-U.S. documents are also.

The references submitted herewith have been discussed on pages 1, 5 and 6 of the instant specification.

USP 6,954,479 B2 corresponds to WO 01/93386 A1 and WO 02/067393 A1

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

10/579519

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

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STATEMENT BY APPLICANT	First Named Inventor	Wolfgang SCHMID	
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Sheet 1 of 1	Attorney Docket Number	5367-238PUS	

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind-Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,954,479 B2	10/11/2005	Albrecht et al.	
		US-			
		US-		·· <u>-</u>	
		US-		7,72	
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		US-		W.V.	1.0
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		F	FOREIGN PA	TENT DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind-Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		WO 01/93386 A1	12/06/2001	Albrecht et al.		Abstract
		WO 02/067393 A1	08/29/2002	Albrecht et al.		Abstract
			<del></del>			

Examiner Signature		Date Considered	

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